



Insider Intelligence

Insider Intelligence Trade Alert 12/9/22

****Buy Alert: Rocket Companies Inc (NASDAQ: RKT)****

Price at alert: \$8.60

Buy Limit: \$8.92

Stop Limit: \$7.12

Hey Alex here with this week's Insider Intelligence trade.

Rocket Companies is one of the nation's largest mortgage lenders. They also originate auto loans, solar panel loans, along with a range of other types of loans.

Rocket also provides tertiary services like marketing and title insurance.

The stock popped up on our radar after a large number of insider buys by the CEO and one of the company's directors.

Over the last month, CEO Jay Farner has snapped up a whopping 727,000 shares in multiple insider buys.

Here's his latest one:

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Here is the list of insider trading transaction codes

OMB APPROVAL

OMB Number:3235-0287
Expires:November 30, 2011
Estimated average burden hours per response...0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *		2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Farner Jay		Rocket Companies, Inc. [RKT]		<input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> Officer (give title below) Chief Executive Officer			
(Last) (First) (Middle)		3. Date of Earliest Transaction (MM/DD/YY)					
C/O ROCKET COMPANIES, INC., 1050 WOODWARD AVENUE		12/05/2022					
(Street)		4. If Amendment, Date Original Filed (MM/DD/YY)		6. Individual or Joint/Group Filing (Check Applicable Line)			
DETROIT, MI48226				<input checked="" type="checkbox"/> Form filed by One Reporting Person			
(City) (State) (Zip)				<input type="checkbox"/> Form filed by More than One Reporting Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (MM/DD/YY)	2A. Deemed Execution Date, if any (MM/DD/YY)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A common stock	12/05/2022		P ⁽¹⁾		23,400	A	\$ 8.54 ⁽²⁾	5,723,007	D	
Class A common stock	12/06/2022		P ⁽¹⁾		24,500	A	\$ 8.15 ⁽³⁾	5,747,507	D	
Class A common stock	12/07/2022		P ⁽¹⁾		24,100	A	\$ 8.3 ⁽⁴⁾	5,771,607	D	
Class A common stock								204,000	I	By trust ⁽⁵⁾

Director Matthew Rizik has also been on a month-long buying spree — he's snapped up nearly 50,000 shares.

His latest filing here:

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SEC Form 4

FORM 4

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <u>Rizik Matthew</u> (Last) (First) (Middle) <u>C/O ROCKET COMPANIES, INC.</u> <u>1050 WOODWARD AVENUE</u> (Street) <u>DETROIT</u> <u>MI</u> <u>48226</u> (City) (State) (Zip)		2. Issuer Name and Ticker or Trading Symbol <u>Rocket Companies, Inc. [RKT]</u> 3. Date of Earliest Transaction (Month/Day/Year) <u>12/05/2022</u> 4. If Amendment, Date of Original Filed (Month/Day/Year)		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below)	
6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A common stock	12/05/2022		p ⁽¹⁾		2,500	A	\$8.54 ⁽²⁾	531,602	D	
Class A common stock	12/06/2022		p ⁽¹⁾		2,700	A	\$8.14 ⁽³⁾	534,302	D	
Class A common stock	12/07/2022		p ⁽¹⁾		2,600	A	\$8.3 ⁽⁴⁾	536,902	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date					

Based on the significant amount of shares being bought by the CEO and a member of the board of directors, especially over an extended period, we're bullish on Rocket Companies.

But as with any investment, never risk anything that you're not willing to lose!

Any questions?

Feel free to reach out and let us know!

To your wealth,

Alex Reid, Editor, Insider Intelligence

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