



# Insider Intelligence

**Insider Intelligence Trade Alert 11/30/22**

**\*\*Buy Alert: BioDesix Inc.**  
**(NASDAQ: BDSX)\*\***  
**Price at recommendation: \$0.69**  
**Buy Limit: \$0.72**  
**Stop Limit: \$0.55**

Hey Alex here with this week's Insider Intelligence trade.

LiveOne is a music, podcast, and video streaming umbrella company that houses a number of different brands.

Their most notable brand is Slacker Radio, which is a satellite radio service that combines the best of SiriusXM, Spotify, and Pandora into one.

The biggest bull case for this company is the fact that every Tesla customer gets a free first year of Slacker Radio, paid for by Tesla, when they purchase a car.

So, if you believe in the bull case for electric vehicles, then the Slacker Radio segment of LiveOne will grow as Tesla sells more vehicles.

Of course, that's not the only thing going for LiveOne.

There's also been some significant buying activity by CEO Robert S. Ellin.

On November 16th, he bought 15,000 shares.

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SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check this box if no longer subject to Section 16, Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.6

1. Name and Address of Reporting Person <sup>1</sup> <u>ELLIN ROBERT S</u>  (Last) (First) (Middle) <u>C/O LIVEONE, INC.</u> <u>269 SOUTH BEVERLY DRIVE, SUITE 1450</u>  (Street) <u>BEVERLY HILLS</u> <u>CA</u> <u>90212</u>  (City) (State) (Zip)		2. Issuer Name and Ticker or Trading Symbol <u>LiveOne, Inc. [ LVO ]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below)  <u>CEO &amp; Chairman</u>	
		3. Date of Earliest Transaction (Month/Day/Year) <u>11/16/2022</u>			
		4. If Amendment, Date of Original Filed (Month/Day/Year)		8. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$0.001 par value	11/16/2022		P		15,000	A	\$0.7264 <sup>(1)</sup>	2,054,666	D	
Common Stock, \$0.001 par value								17,552,984	I	See footnotes <sup>(2)</sup> (1)(i)(3)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

Then followed that up on November 21st with another buy, this one for 35,000 shares.

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			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$0.001 par value	11/21/2022		P		25,000	A	\$0.6675 <sup>(1)</sup>	2,079,666	D	
Common Stock, \$0.001 par value	11/21/2022		P		10,000	A	\$0.6809 <sup>(2)</sup>	2,089,666	D	
Common Stock, \$0.001 par value								17,552,984	I	See footnotes <sup>(3)</sup> (1)(2)(3)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
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LiveOne trades for under a dollar. So, it won't take much to make this stock move.

Based on the CEO loading up on shares, that move will hopefully be to the upside.

But since it's so small, it could also move fast to the downside as well.

So, like always, make sure to monitor your position.

As with any investment, never risk anything that you're not willing to lose!

Any questions?

Feel free to reach out and let us know!

To your wealth,

***Alex Reid, Founder Insider Intelligence***

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